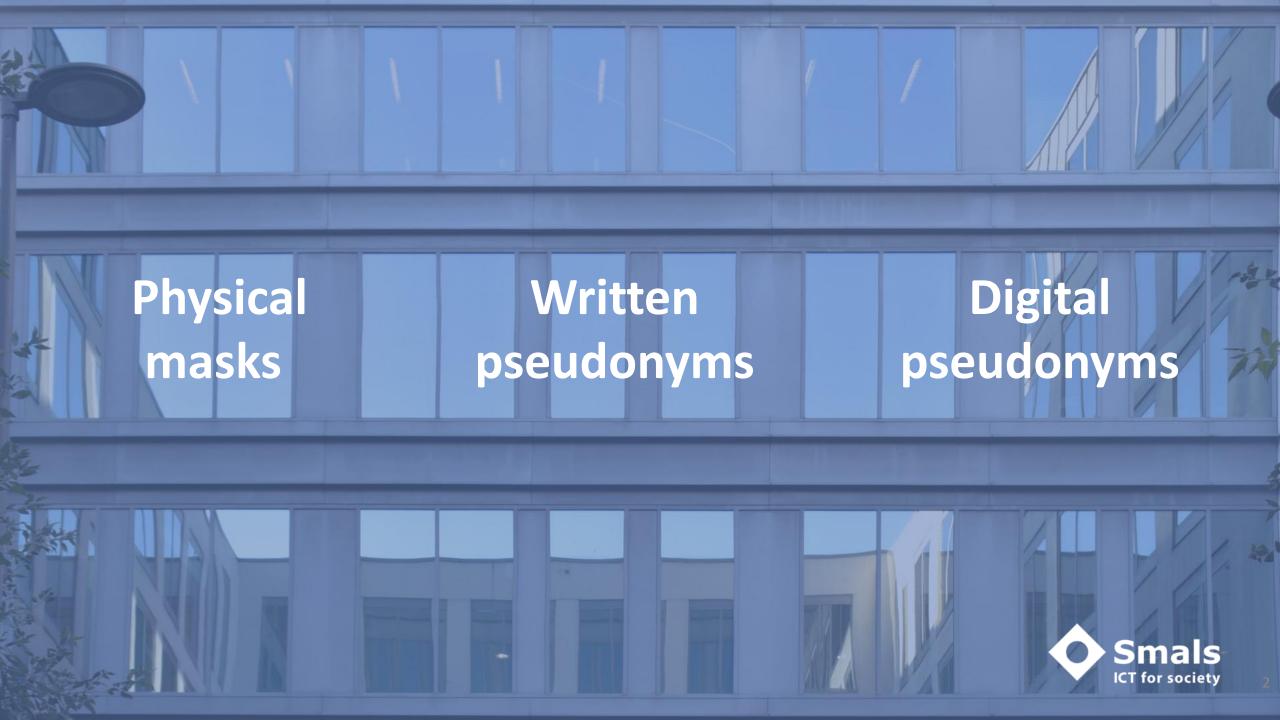


Privacy in Practice with Smart Pseudonymization Lessons from the Belgian Public Sector

Kristof Verslype Cryptographer (PhD.) Smals Research



Innovation @ Smals Research Smart Pseudonymisation

Conversion from citizen identifiers to pseudonyms

Format-Preserving Pseudonymisation

Retroactive protection of personal data in TEST & ACC of legacy applications



eHealth Blind Pseudonymisation

Proactive protection of personal data in applications Privacy by Design



Oblivious Join

Non-trivial join & pseudonymise projects for research purposes Distributed & no integration







Format-Preserving Pseudonymisation

- Problem statement
- Concept
- Experimental service
- Conclusion





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Widespread use of personal data in non-prod environments

"60% of organisations use raw production data in test environments" World Quality Report, 2020



Security Data breaches from non-prod environments

²⁰¹⁶ UBER

Hacker exploited Uber's software development environments to break into the rideshare giant's cloud storage F Mobile[™]

Hacker leveraged an unprotected router to gain access to T-Mobile's production, staging, and development servers, which compromised over 48 million social security numbers and other details. LastPass •••

The hacker targeted the home computer of a LastPass senior DevOps engineer

No negligible risk!



Compliance with GDPR

Personal data in TEST/ACC

Legal basis

- Informed and actively given consent?
- Legitimate interest (gerechtvaardigd belang) of organisation?
- Special categories of personal data Minors, medical data, sexual orientation, criminal data, ...
- Other legal basis?

* Appropriate measures

Security TEST < PROD/ACC</p>

Pseudonimisation

- Encouraged by GDPR to protect personal data
- Some rules by GDPR more **relaxed**
- Could help become more compliant

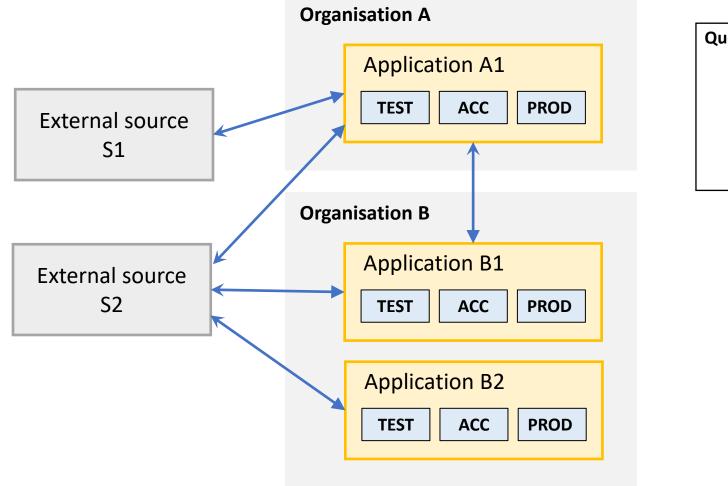
GDPR, Art 32.

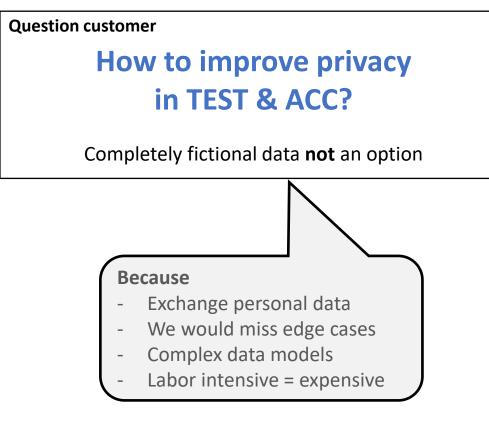
[...] the controller and the processor shall implement appropriate technical and organisational measures to ensure a level of security appropriate to the risk, including inter alia as appropriate:

- a) the **pseudonymisation** and encryption of personal data;
- b) [...]



Reality in public sector







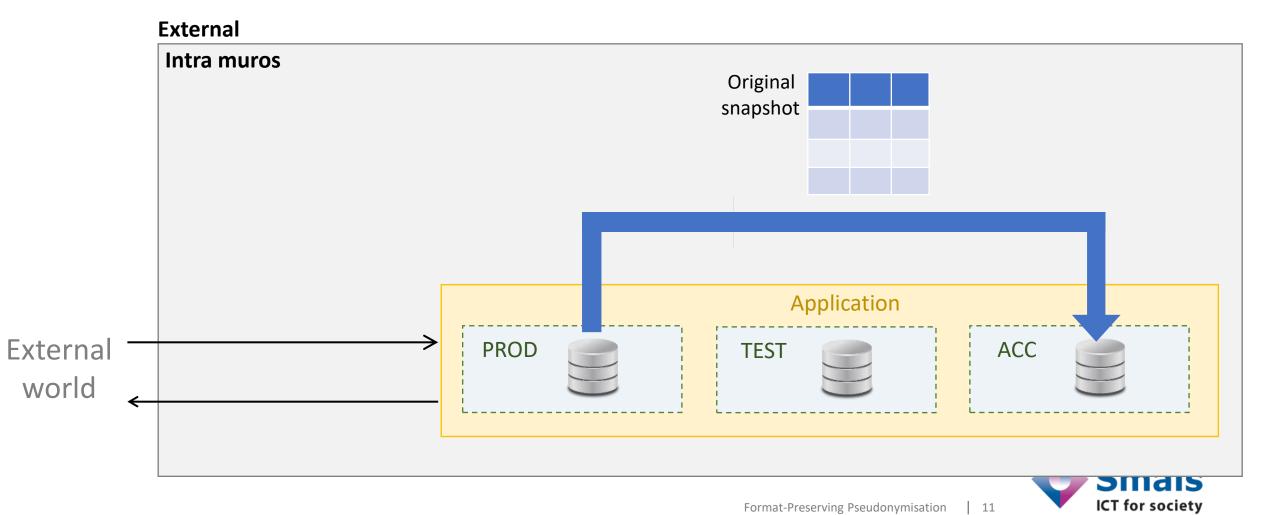


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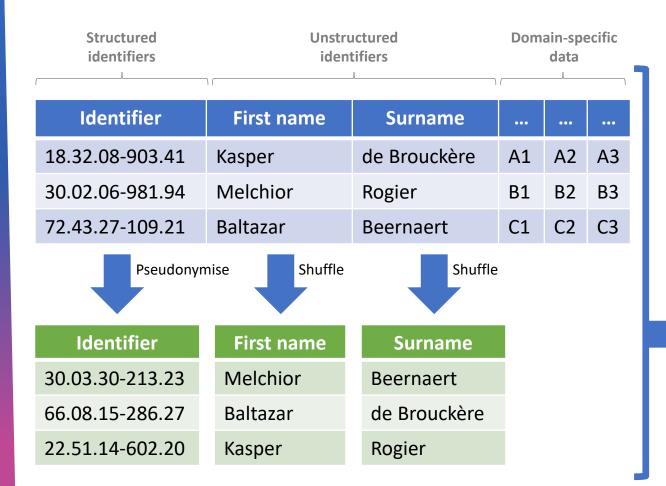


Current practice



Approach by member

Transforming batch of records with personal data copied to TEST or ACC



1. Pseudonymise

Replace structured identifier by format-preserving pseudonym

- Bidirectional
- By Smals Research
- 2. Shuffle

Column-wise permutation

- Unidirectional
- By Customer

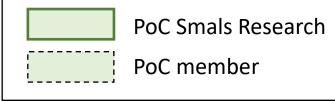
Transformed snapshot

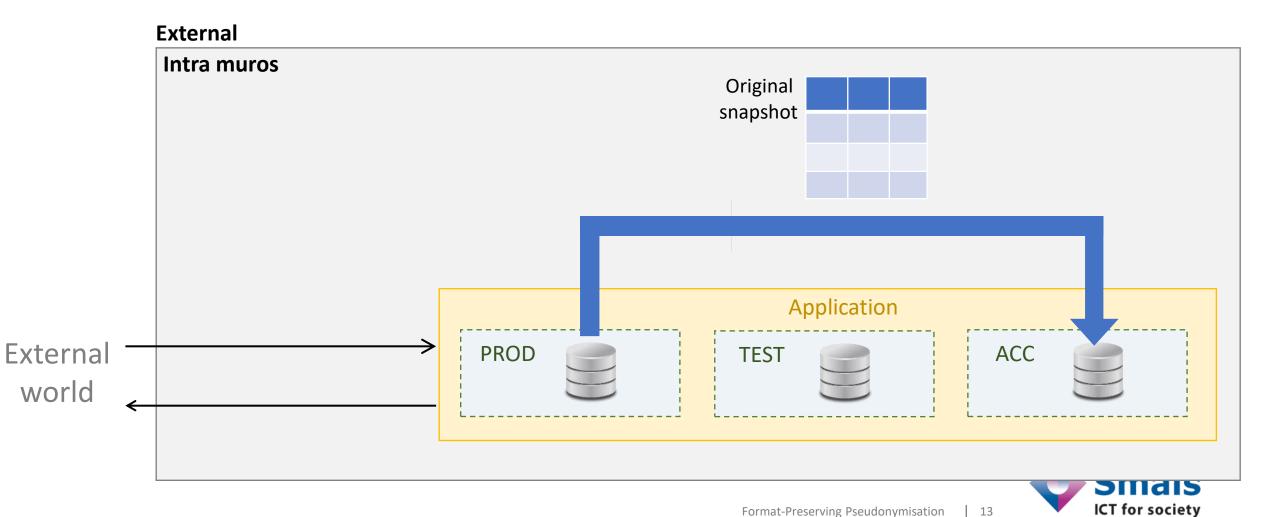
| Identifier | First name | Surname | ••• | ••• | |
|-----------------|------------|--------------|-----|-----|----|
| 30.03.30-213.23 | Melchior | Beernaert | A1 | A2 | A3 |
| 66.08.15-286.27 | Baltazar | de Brouckère | B1 | B2 | B3 |
| 22.51.14-602.20 | Kasper | Rogier | C1 | C2 | C3 |



Records useful for TEST & ACC, while hard to identify!

PoC in collaboration with customer

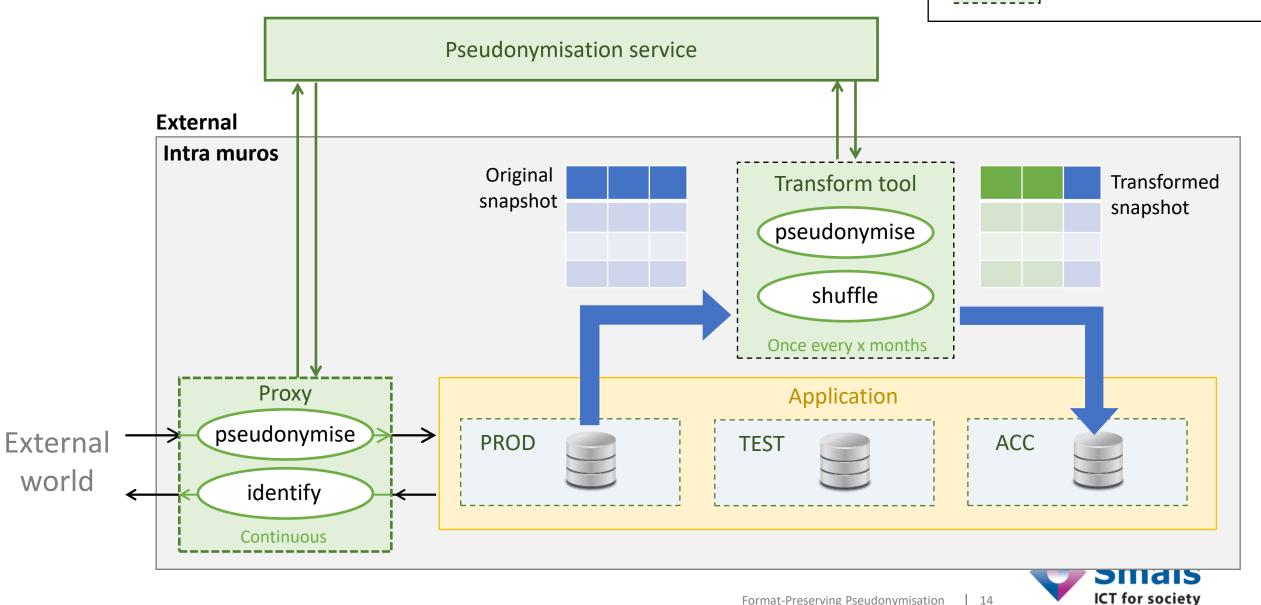




PoC in collaboration with customer

PoC Smals Research

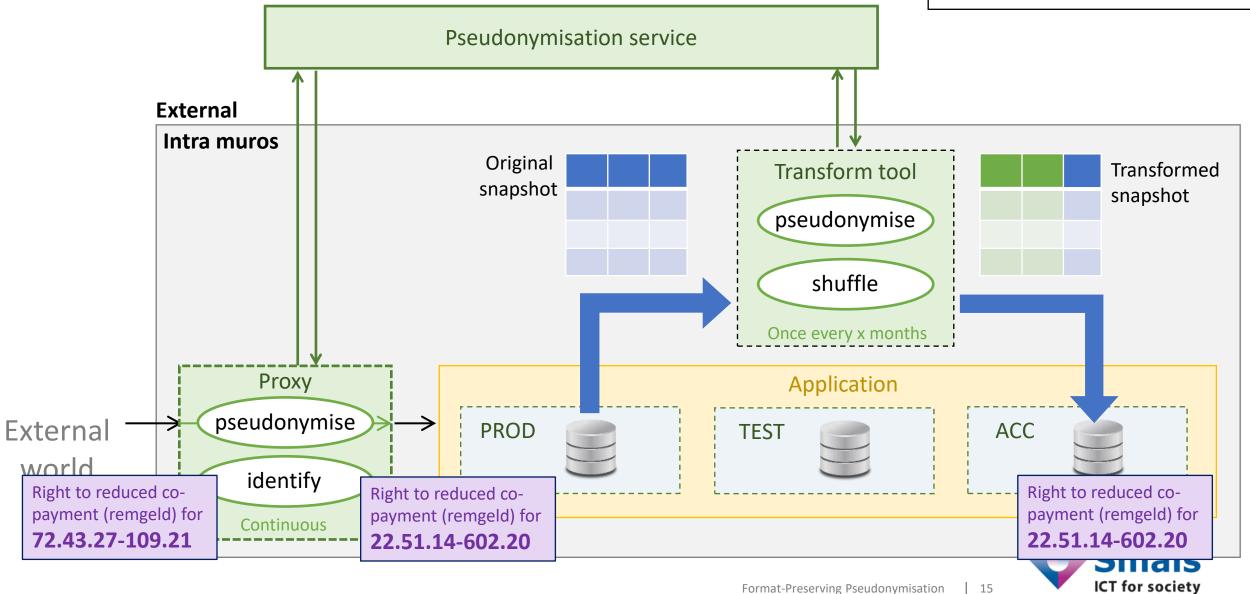
PoC member

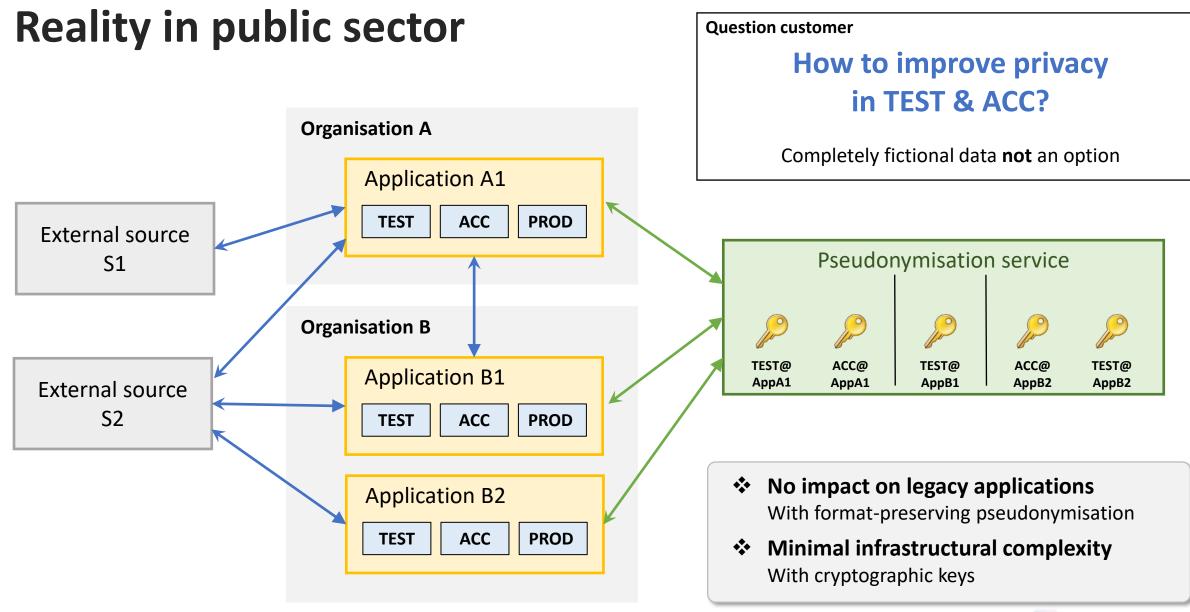


PoC in collaboration with customer

PoC Smals Research

PoC member

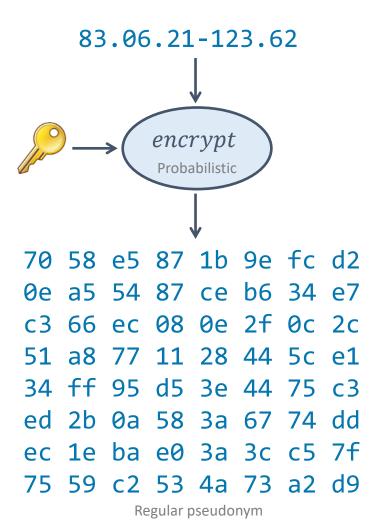




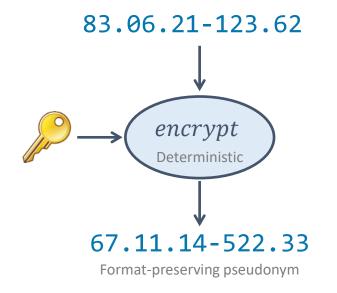


Encryption

TRADITIONAL ENCRYPTION



FORMAT-PRESERVING ENCRYPTION



- Conversions happen on-the-fly
- Structure preserved, including valid checksum
- Described in NIST SP 800-38G Revision. 1 (2019)





Format-Preserving Pseudonymisation

- Problem statement
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Experimental REST service

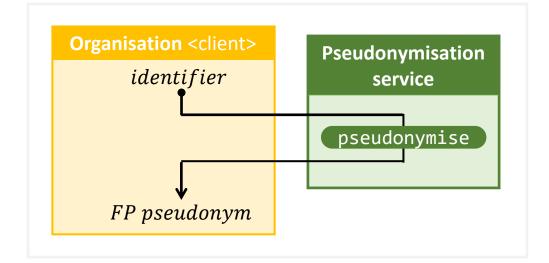
Built by Smals Research

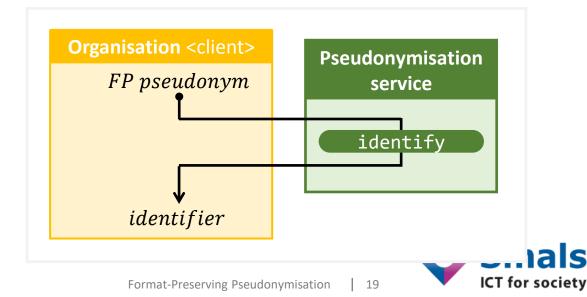
Rest API

- ✓ Pseudonymise & Identify
- ✓ GET and POST
- ✓ Also batch (POST only)

Identifiers

- ✓ Support for Belgian social security numbers
- ✓ Extensible





POST Request

| 1 { 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 } | <pre>"context": { "security-group": "ehealth", "application": "quatro", "environment": "TEST" }, "identifiers": ["18.32.08-902.42", "30.02.06-981.94", "72.43.27-109.21", "58.28.16-291.62", "58.28.16-291.62", "58.28.16-291.90", "79.27.28-621.96", "30.43.04-205.53", "93.26.17-802.47", "33.24.16-568.07"]</pre> |
|---|--|
| | Easy to use Graceful error handling Efficient |

POST Response

| "s "a "e | xt": { ecurity-group": "ehealth", pplication": "quatro", nvironment": "TEST" | | | |
|---------------------|--|--|--|--|
| "trans" a" e" | "time": "2024-01-08T08:20:39.128207895Z", "translation-info": { "action": "pseudonymize", "enabled": true | | | |
| }, "trans | lations": [| | | |
| { | "identifier": "18.32.08-902.42", "pseudonym": "30.43.30-213.41", "valid": true | | | |
| { | "identifier": "30.02.06-981.94", "pseudonym": "66.08.15-286.27", "valid": true | | | |
| }, { }, | "identifier": "72.43.27-109.21", "pseudonym": "22.51.14-602.20", "valid": true | | | |
| { | "identifier": "58.28.16-291.62", "pseudonym": "null", "valid": false, "error": "checksum" | | | |



Format-Preserving Pseudonymisation

- Problem statement
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Format-Preserving Pseudonymisation

Building block

- To improve privacy in TEST and ACC environments
- Partial solution

As a Service

- Simplifies logic organisation
 E.g. key management
- Stimulates reuse
- Separation of duties

Status

Trying to go into project mode



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eHealth Blind Pseudonymisation

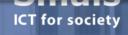
Proactive protection of personal data in applications Privacy by Design



Oblivious Join

Non-trivial join & pseudonymise projects for research purposes Distributed & no integration







eHealth Blind Pseudonymisation

- Problem statement
- Referral prescriptions
- Join & pseudonymise data for research
- Conclusion





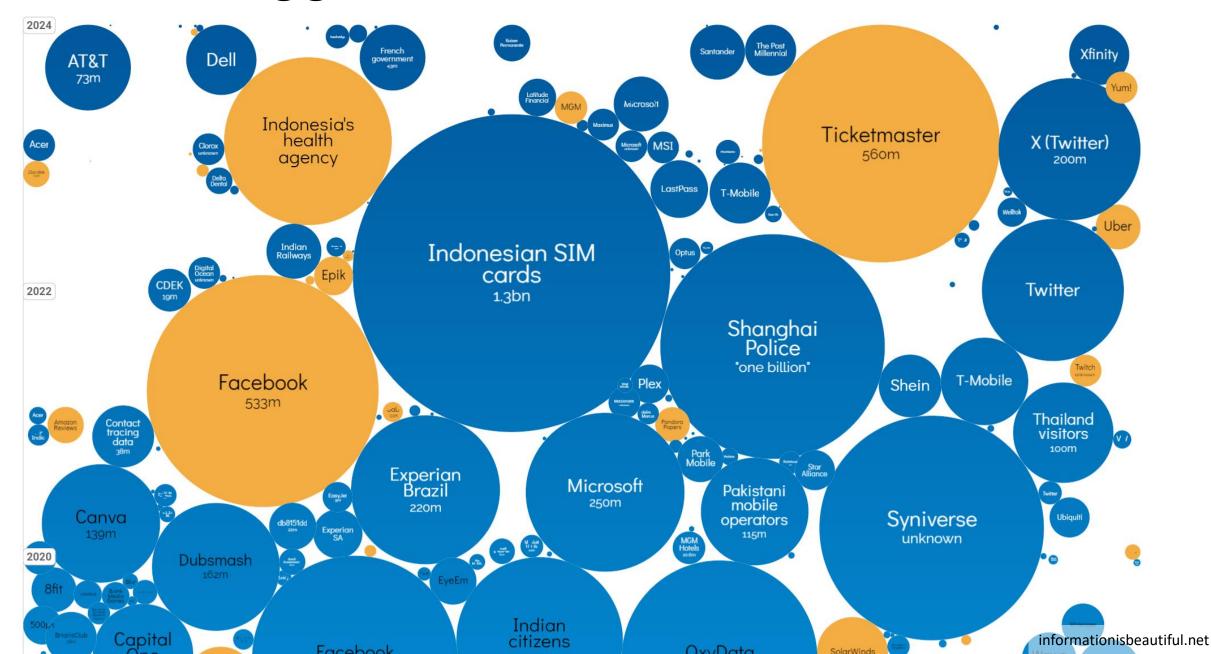
eHealth Blind Pseudonymisation

Problem statement

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World's Biggest Data Breaches & Hacks



Design principles



Privacy by design

Privacy should be taken into account when designing and building products and services



Separation of duties

Entity managing protection keys should not have access to protected data (and vice versa)



Simplicity

Complexity is the worst enemy of security







eHealth Blind Pseudonymisation

- Problem statement
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- Conclusion



Use case 1 - Live **Referral prescription** = Verwijsvoorschrift / Prescription de renvoi

What?

A certificate to start a certain treatment (e.g. physiotherapist, dieticians, speech therapists).

Requirements

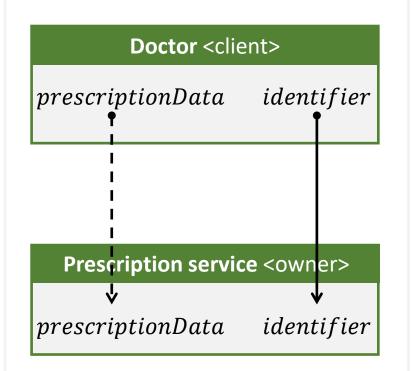
Pseudonymisation
 Prescription service
 should never be able to
 link prescription data to
 a citizen

Partial encryption

The prescription service should not be able to access certain fields

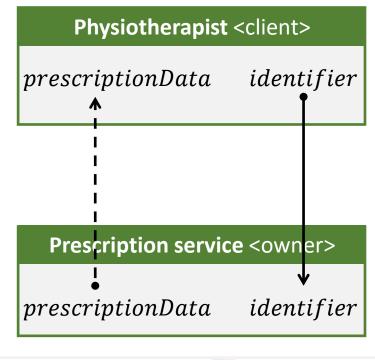
Scenario 1

Doctor (client) requests Prescription service (owner) to register prescription



Scenario 2

Physiotherapist (client) requests access to prescription for a specific citizen from Prescription service (owner)





Blind Pseudo Service Pseudonymise

Each party only sees only what it needs to see

- Client only sees identifiers
- Owner only sees pseudonyms
- Pseudon. service sees neither
- → Maximizes security & privacy

Direct communication

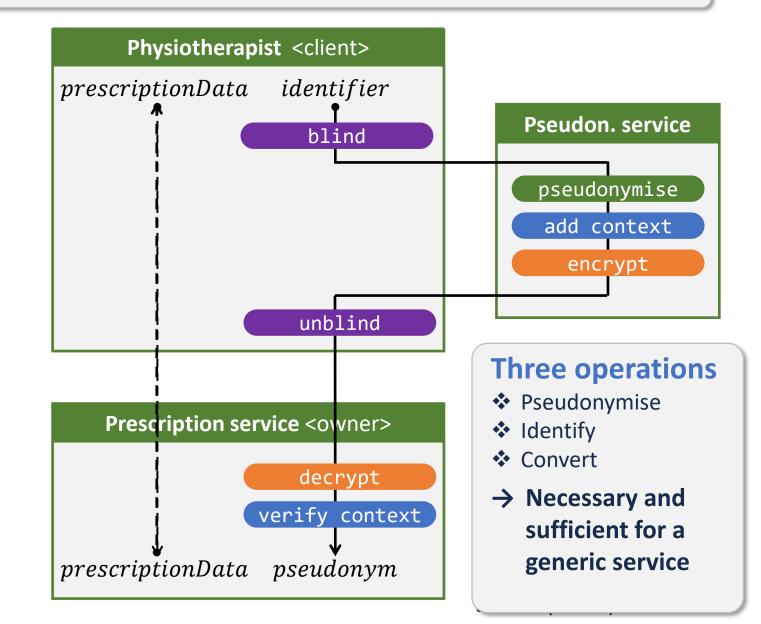
- Direct communication between healthcare professional and prescription service
- ✤ No in-between entity

Low-intrusive client-side

- No extra keys required
- Relatively simple implementation

Structure blinded identifier, blinded pseudonym and final pseudonym

(AV+VXF9H5LdTe4b1 SSC7bHjp6b2enJmf plC6a3/jCR5fUHxX RSaRniYR8h7ugNqa lGvP49cZnv6lf9B7 2RUG0rA/, eSmII52CEtsZzSseU DY3YKLtSgqhq1wLPm 9ncHBzGiv1wMlxmc1 jSmpW36GhTt/s1P5s hZGhG8ncoWKSGkJDy fw=)



Use case 1 - Live **Referral prescription** = Verwijsvoorschrift / Prescription de renvoi

What?

A certificate to start a certain treatment (e.g. physiotherapist, dieticians, speech therapists).

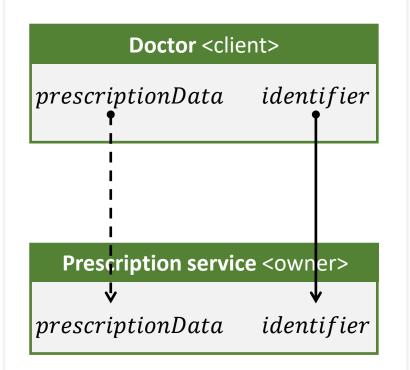
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Pseudonymisation
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Partial encryption The prescription service should not be able to access certain fields

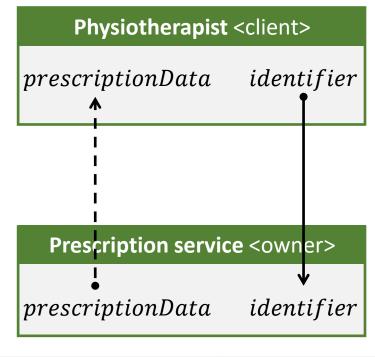
Scenario 1

Doctor (client) requests Prescription service (owner) to register prescription



Scenario 2

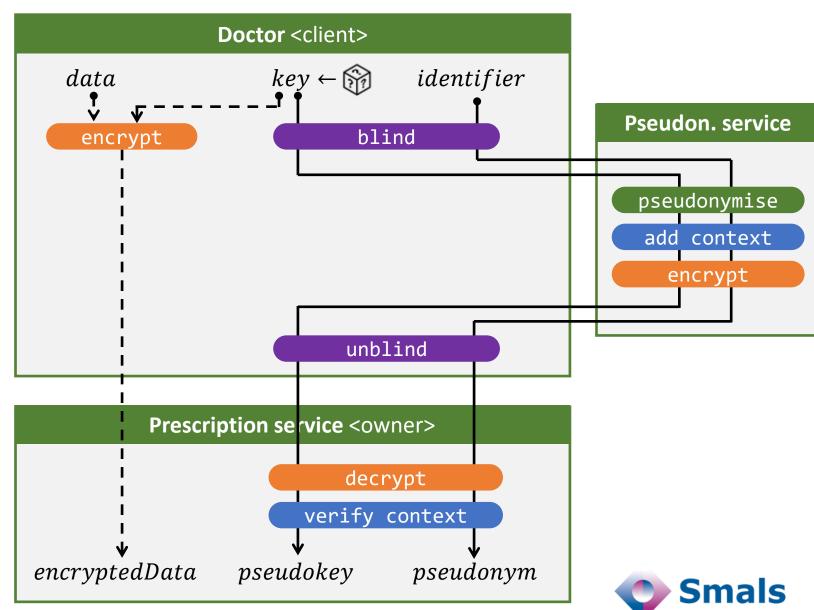
Physiotherapist (client) requests access to prescription for a specific citizen from Prescription service (owner)





Blind Pseudonymisation Service

Encrypt



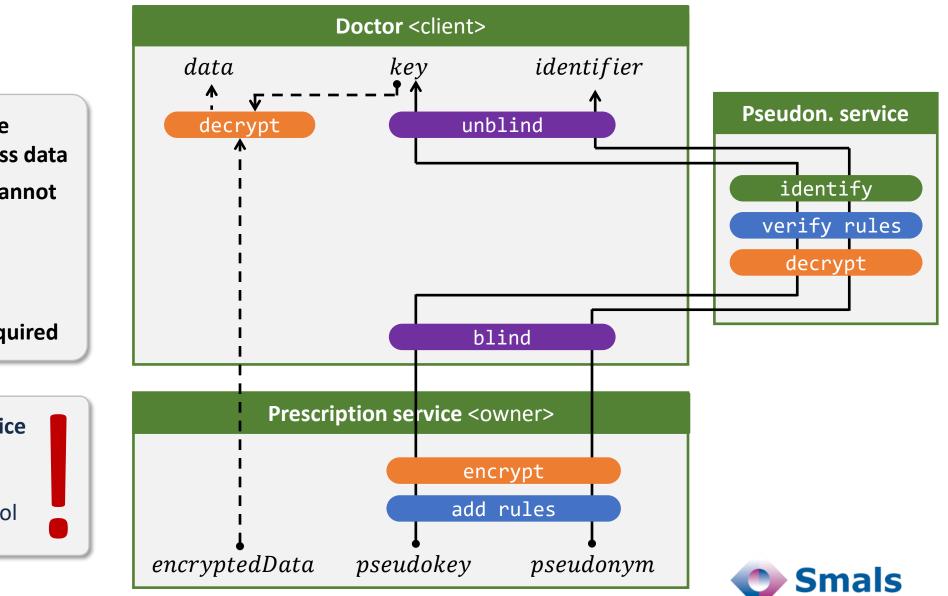


Blind Pseudonymisation Service Decrypt

- Authorized healthcare professional can access data **Prescription service cannot**
- access data **Pseudon. service**
- cannot access key
 - Quasi no new logic required

Crucial that pseudon. service

- ✤ is independent
- ✤ is well secured
- has proper access control





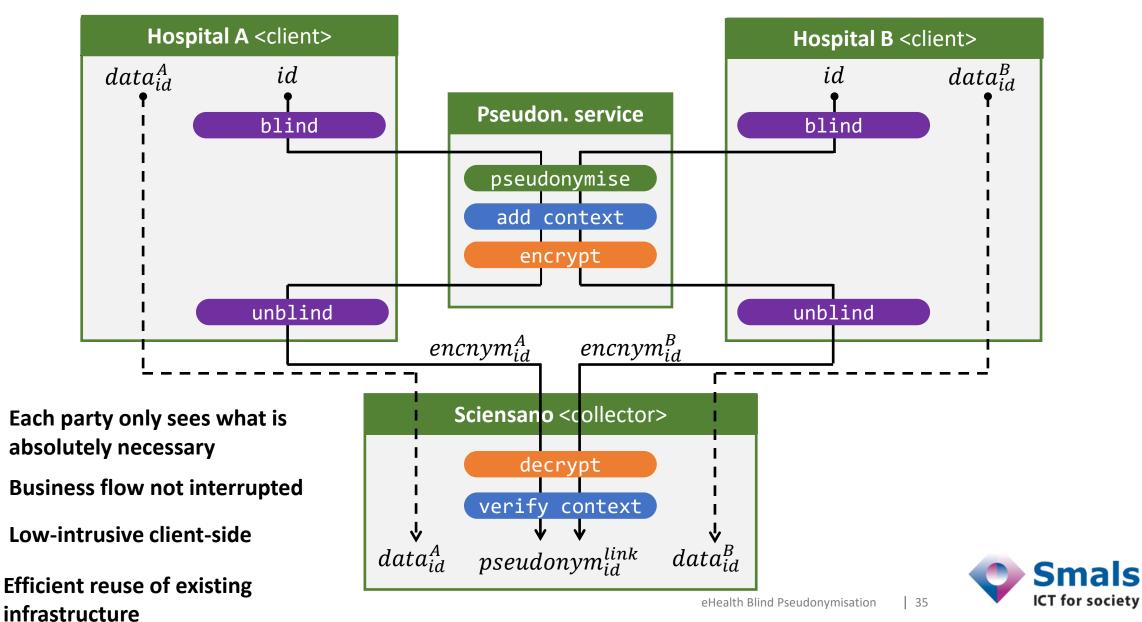


eHealth Blind Pseudonymisation

- Problem statement
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- Join & pseudonymise data for research
- Conclusion



Use case 2 – Proposal Join & pseudonymise data for research



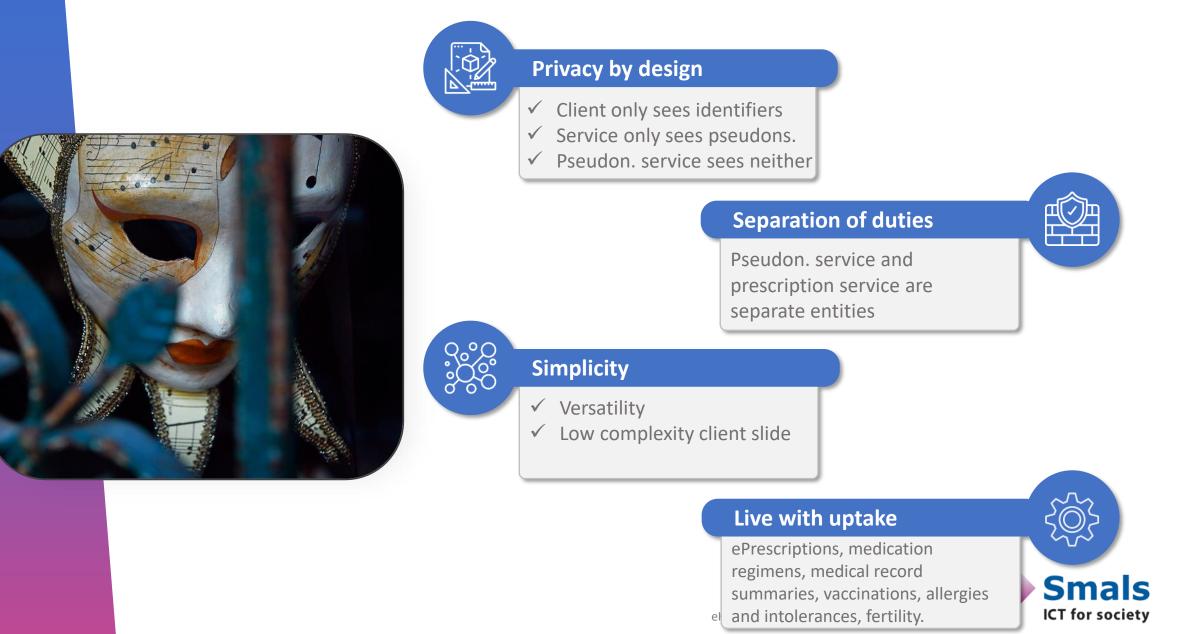


eHealth Blind Pseudonymisation

- Problem statement
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- Join & pseudonymise data for research
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eHealth Blind Pseudonymisation



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- Problem statement
- Concept
- In practice
- Conclusion





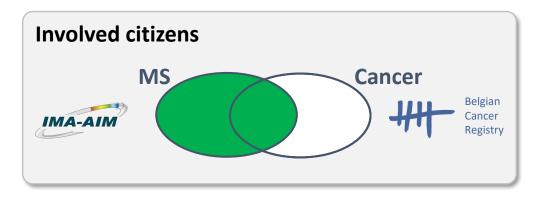
- Problem statement
- Concept
- In practice
- Conclusion

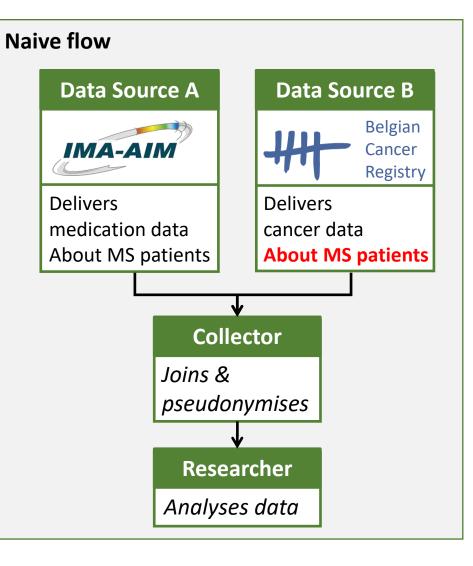


Concrete case

Research question

Do MS patients who take medications with the molecule teriflunomide or alemtuzumab have an increased cancer risk compared to MS patients treated with other medications?





How can BCR deliver only records about MS patients without learning who has MS?



Current practice



Complex flow X Expensive

X Bespoke X Doesn't scale well

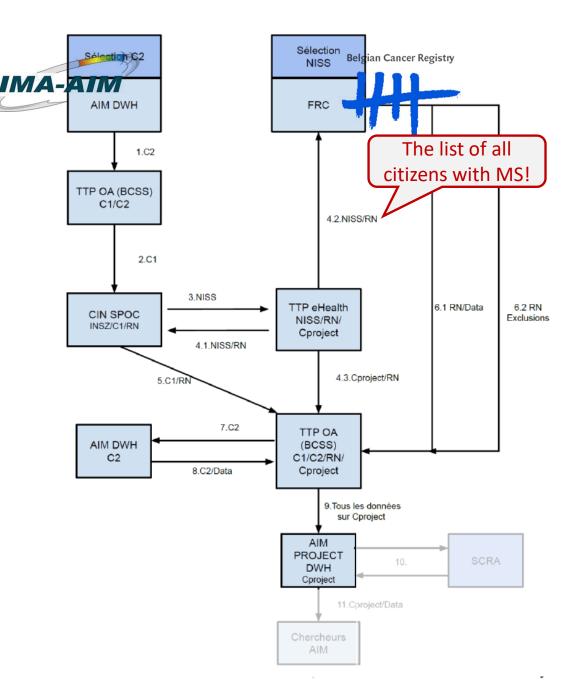
Slow Security risk (data leakage)

Feedback

"Lasts weeks, months, even years" "Requires an exorbitant amount of resources"

Other countries

Heavy reliance on combination of trusted parties and strong legal regulations



Challenge

Join and pseudonymise personal data originating from different sources

Constraint

Not all data sources able to independently select relevant records

E.g., BCR unable to select records about citizens with MS

Requirements

Privacy-friendly

Involved entities learns only the necessary

Uniform

Each research question is different, with different data and different data sources

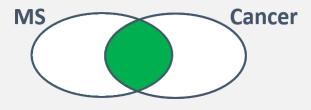
No data aggregation

Researcher access to individual records

Easy to use

Focus: set intersection

Researcher wants pseudonymised data of citizens that have MS and cancer



Extensible from there

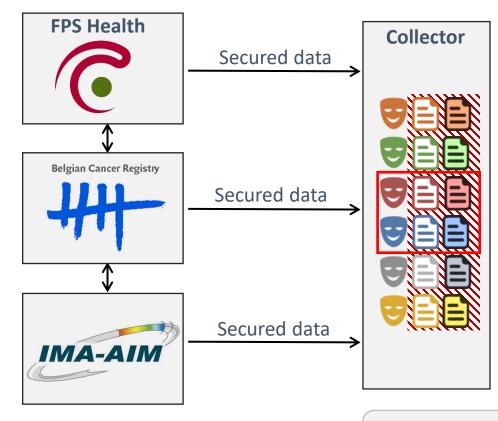




- Problem statement
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Concept



Data sources

- Do not learn any new personal or statistical data
- Only see identifiers of their data

Collector

- Learns only minimum required pseudonymised personal data
- Learns high-level statistical data
 E.g. number of citizens with cancer diagnosis
- Only sees pseudonyms

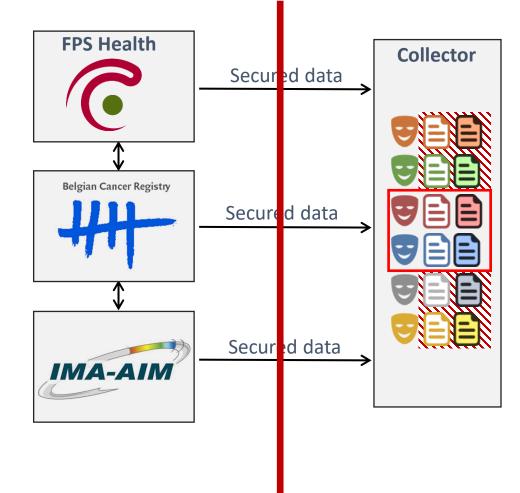
Properties

Privacy-friendly & secure
 Distributed: no pseudon. service
 Uniform & no integration
 Fast & cost-efficient

3 steps protocol

- Fully automated agreements between data sources (no human intervention)
- Each data source sends all potentially relevant data encrypted & pseudonymised to collector
- Thanks previous agreements (step 1) collector can only decrypt & combine pertinent records

Concept



No collusion between data source and collector

Properties

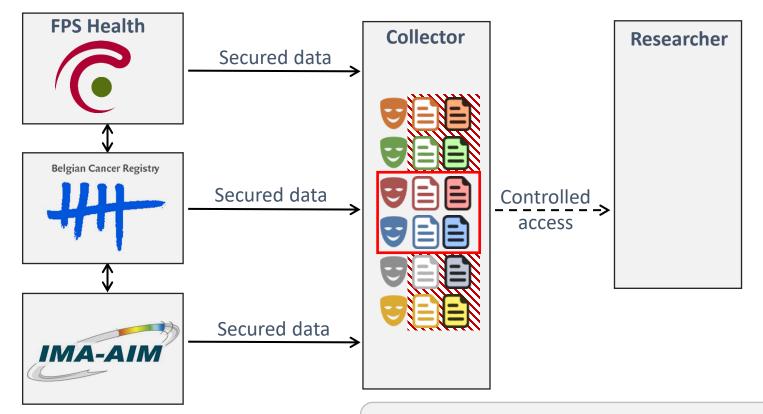
Privacy-friendly & secure
 Distributed: no pseudon. service
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3 steps

- Fully automated agreements between data sources (no human intervention)
- Each data source sends all potentially relevant data encrypted & pseudonymised to collector
- Thanks previous agreements (step 1) collector can only decrypt & combine pertinent records

UDIIVIOUS JOIN 40

Concept



Collector

Independent and semi-trusted

- 1. Deletes asap irrelevant ciphertexts
- 2. Can do additional checks on the data
- 3. Controlled access to researcher

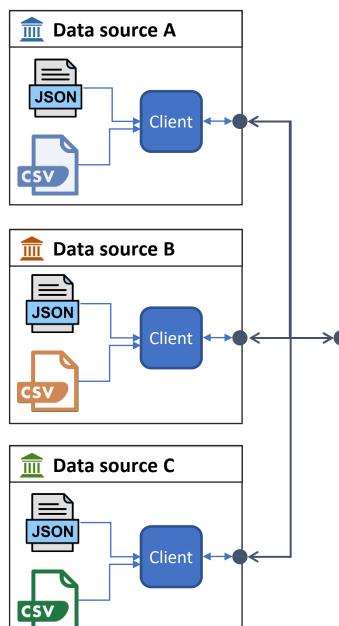


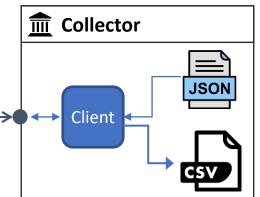


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In practice





client - Java jar - No integration required → non-intrusive, flexible

- All parties use same client (software)
- Command-line interface

Project description

- JSON file
- Created by coordinating party
- Contains all info required to execute protocol
- All parties use same project description

Input files

- · CSV file
- Created by individual data source (out of scope)
- Contains all, potentially relevant, identified personal data



JSON

Output file

- CSV file
- Collector's output after protocol execution
- Contains minimal required joined & pseudonymised personal data

Test with fictional data



Extract input CSV

| Data source | | |
|-----------------|--------------------|--|
| 60.01.03-231.73 | Teriflunomide | |
| 60.01.03-562.33 | Alemtuzumab | |
| 60.01.03-697.92 | Glatiramer acetate | |
| 60.01.04-606.56 | Interferon beta | |
| 60.01.04-681.78 | Dimethyl fumarate | |
| 60.01.05-045.05 | Teriflunomide | |
| 60.01.05-186.58 | Tysabri | |
| 60.01.05-617.15 | Ocrelizumab | |
| 60.01.05-715.14 | Alemtuzumab | |
| 200 000 records | | |

200 000 records

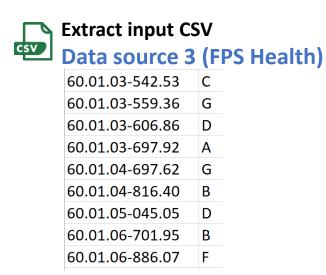
E.g. Citizens with MS



| I. | | | |
|-----------------|------------|---|----|
| 60.01.03-782.07 | Melanoma | 3 | G1 |
| 60.01.04-124.53 | Colorectal | 1 | G3 |
| 60.01.04-345.26 | Prostate | 2 | G2 |
| 60.01.04-562.03 | Breast | 2 | G1 |
| 60.01.05-045.05 | Lung | 1 | G3 |
| 60.01.05-893.30 | Pancreas | 4 | G2 |
| 60.01.06-401.07 | Breast | 3 | G1 |
| 60.01.06-696.03 | Stomach | 2 | G1 |
| 60.01.07-203.78 | Thyroid | 1 | G3 |
| | | | |

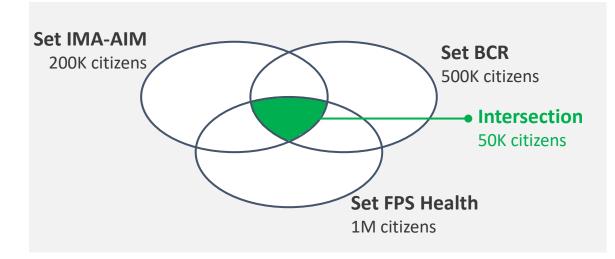
500 000 records

E.g. Citizens with cancer



1 000 000 records

E.g. Citizens with high-risk profile





Test with fictional data



Extract input CSV

| | Data source | |
|---|------------------------------------|--------------------------|
| | 60.01.03-231.73 | Teriflunomide |
| | 60.01.03-562.33 | Alemtuzumab |
| | 60.01.03-697.92 | Glatiramer acetate |
| | 60.01.04-606.56 | Interferon beta |
| _ | 60 01 04-681 78 | Dimethyl fumarate |
| | | |
| | 60.01.05-045.05 | Teriflunomide |
| | 60.01.05-045.05 60.01.05-186.58 | Teriflunomide Tysabri |
| | | |
| | 60.01.05-186.58 | Tysabri Ocrelizumab |

E.g. Citizens with MS

| Sector Extract input CSV | | | | |
|--------------------------|-----------------|------------|---|-----------|
| | Data source | 2 (BCR) | | |
| | 60.01.03-782.07 | Melanoma | 3 | G1 |
| | 60.01.04-124.53 | Colorectal | 1 | G3 |
| | 60.01.04-345.26 | Prostate | 2 | G2 |
| | 60.01.04 562.03 | Breast | 2 | <u>61</u> |
| | 60.01.05-045.05 | Lung | 1 | G3 |
| | 60.01.05-893.30 | Pancreas | 4 | G2 |
| | 60.01.06-401.07 | Breast | 3 | G1 |

Stomach

Prostate

Thyroid

2 G1

1 G3

F

В

D

С

Н

А

Ε

D

3 G3

500 000 records

60.01.06-696.03 60.01.07-203.78

E.g. Citizens with cancer

| | Extract input CS Data source 3 | |
|---|-----------------------------------|-------------|
| | Data source 5 | (грэ пеани) |
| | 60.01.03-542.53 | С |
| | 60.01.03-559.36 | G |
| | 60.01.03-606.86 | D |
| | 60.01.03-697.92 | A |
| | 60.01.04-697.62 | G |
| _ | 60.01.04-816.40 | B |
| | 60.01.05-045.05 | D |
| | 60.01.06-701.95 | B |
| | 60.01.06-886.07 | F |

1 000 000 records

E.g. Citizens with high-risk profile

| Extract output CSV | 99338454821 | Teriflunomide | Lung | 3 | G1 |
|--------------------|-------------|-------------------|--------------|---|----|
| Collector (KSZ) | 12056965607 | Alemtuzumab | Cervix uteri | 2 | G2 |
| 50 000 records | 15380767762 | Daclizumab | Pancreas | 1 | G2 |
| | 15380767762 | Teriflunomide | Lung | 1 | G3 |
| | 31309444464 | Ocrelizumab | Stomach | 3 | G1 |
| | 99921347021 | Dimethyl fumarate | Breast | 2 | G2 |
| | 69025938558 | Ofatumumab | Prostate | 3 | G3 |
| | 38469942453 | Alemtuzumab | Melanoma | 4 | G1 |

Aubagio

18048091119...

csv

Who sees what?

- Data sources only see identifiers
- Collector only sees pseudonyms
- No pseudonymisation service



Test with fictional data



Extract input CSV

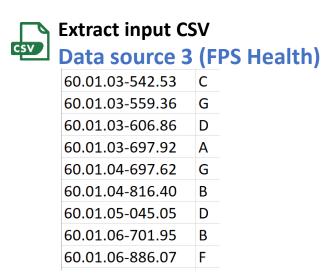
| Data source | | |
|-----------------|--------------------|--|
| 60.01.03-231.73 | Teriflunomide | |
| 60.01.03-562.33 | Alemtuzumab | |
| 60.01.03-697.92 | Glatiramer acetate | |
| 60.01.04-606.56 | Interferon beta | |
| 60.01.04-681.78 | Dimethyl fumarate | |
| 60.01.05-045.05 | Teriflunomide | |
| 60.01.05-186.58 | Tysabri | |
| 60.01.05-617.15 | Ocrelizumab | |
| 60.01.05-715.14 | Alemtuzumab | |
| 200 000 records | | |

E.g. Citizens with MS



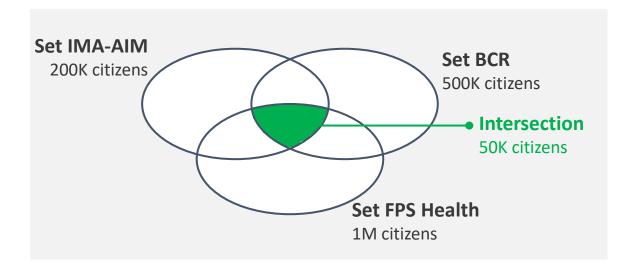
| 1 | | | |
|-----------------|------------|---|----|
| 60.01.03-782.07 | Melanoma | 3 | G1 |
| 60.01.04-124.53 | Colorectal | 1 | G3 |
| 60.01.04-345.26 | Prostate | 2 | G2 |
| 60.01.04-562.03 | Breast | 2 | G1 |
| 60.01.05-045.05 | Lung | 1 | G3 |
| 60.01.05-893.30 | Pancreas | 4 | G2 |
| 60.01.06-401.07 | Breast | 3 | G1 |
| 60.01.06-696.03 | Stomach | 2 | G1 |
| 60.01.07-203.78 | Thyroid | 1 | G3 |
| | | | |

500 000 records E.g. Citizens with cancer



1 000 000 records

E.g. Citizens with high-risk profile



Performance test

Parameters

- MinNbRecords: 10
- 128 bit security

Infrastructure

- Data sources: 4 i9-7940x cores @ 3.10 GHz, 16GB RAM
- Collector: 2 i9-7940x cores @ 3.10 GHz , 16GB RAM

Results

- < 2 min calculations
- Excl. a few hundred MBs data transfer



- Problem statement
- Concept
- In practice
- Conclusion



Collaboration universities

Interdisciplinary paper (To be published in 2024)

Privacy-By-Design in the Belgian Public Sector

Pseudonymising & Joining Personal Data Fragmented over Multiple Organisations



In Public Governance and Emerging Technologies – Values, Trust, and Compliance by Design





SPRINGER NATURE

Expert paper

Ongoing <CONFIDENTIAL>



https://chainresearch.eu/

Oblivious Join 54

https://www.uu.nl/en/events/conference-public-governance-and-emerging-technologies-values-trust-and-compliance-by-design

Evaluation

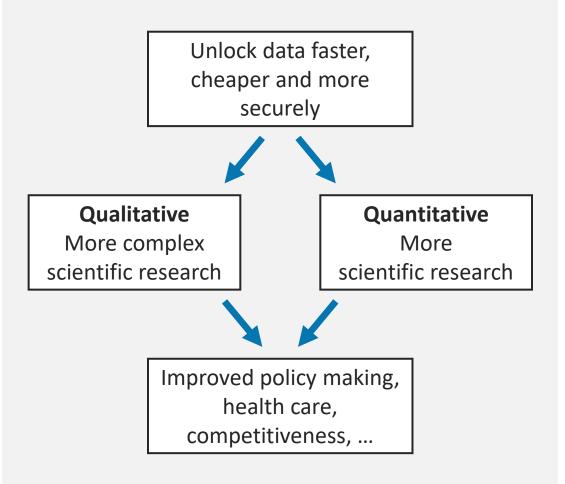
Advantages

Answer on business need
Privacy-friendly & secure
Distributed (no pseudonymisation service)
Uniform & no integration
Fast & cost-efficient
Formal academic validation

Challenges

Only passive interest
 Still in research phase
 Higher development complexity (but lower infra)
 Extensions required

Opportunities







Innovation @ Smals Research Smart Pseudonymisation

Conversion from citizen identifiers to pseudonyms

Format-Preserving Pseudonymisation

Retroactive protection of personal data in TEST & ACC of legacy applications



eHealth Blind Pseudonymisation

Proactive protection of personal data in applications Privacy by Design



Oblivious Join

Non-trivial join & pseudonymise projects for research purposes Distributed & no integration



Smart pseudonymisation can play a crucial role to protect personal datafor society

Thanks for your attention

If you have any questions, do not hesitate to contact me! See you at the Smals booth (Nb. 6)!



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www.smals.be www.smalsresearch.be www.cryptanium.eu





Further reading www.smalsresearch.be



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10 October 2024

eHealth Blind Pseudonymisation